



Module Specification

Law of Financial Crime and Regulation

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Part 1: Information

Module title: Law of Financial Crime and Regulation

Module code: UJUULA-30-3

Level: Level 6

For implementation from: 2023-24

UWE credit rating: 30

ECTS credit rating: 15

Faculty: Faculty of Business & Law

Department: FBL Dept of Law

Partner institutions: None

Delivery locations: Not in use for Modules

Field: Law Undergraduate (Programmes)

Module type: Module

Pre-requisites: None

Excluded combinations: None

Co-requisites: None

Continuing professional development: No

Professional, statutory or regulatory body requirements: None

Part 2: Description

Overview: In this module students will be introduced to national, regional and international frameworks that have been designed to tackle financial crime covering a wide range of related topics.

Features: Not applicable

Educational aims: See Learning Outcomes.

Outline syllabus: The syllabus includes:

Financial crime - The relationship between financial crime and the wall Street Crash, the Savings and Loans Crisis and the 2007-2008 financial crisis; Money Laundering; Terrorist Financing; Fraud; Market Manipulation;

Insider Dealing; Market Abuse; Bribery and Corruption; Tax Evasion and Tax Avoidance; Cyber Crime.

The module will also cover the law enforcement and financial regulatory agencies that enforce the laws in addition to investigating the enforcement mechanisms utilised in selected nation states.

Through application of financial regulations and the requirement of compliance to problematical factual situations, this module will help students develop their ability to make decisions, even where these must be based upon limited or unclear data. Students will be advising fictional clients based on practical extended scenarios where decisions to act are made within a context of uncertainty and through iterative processes. Students will learn to source and retrieve relevant contextualised information, evaluate it and formulate arguments, both independently and in a team. They will develop their ability to combine analysis with intuition and intuitive decision making, drawn from subject expertise and evaluation of critical incidents. They will also develop their financial literacy.

Students will be encouraged to begin making the step from enterprise awareness and developing an enterprising mindset to some entrepreneurial capability. They will have the opportunity to engage with the module subject in the context of assessing the propensity for success of identified actions, solutions and opportunities for the client, or for themselves as professionals. Based on this, students will also be encouraged to partake in relevant pro bono activities and other co-curricular enterprise or entrepreneurial student activities across the faculty and university that enable them to take a lead and show greater autonomy, either individually or as part of a group, in gaining practical experience of enterprise.

Part 3: Teaching and learning methods

Teaching and learning methods: The module team will adopt an innovative and student centred approach that seeks to encourage students to critically engage with the subject matter of the module. This will be based on interactive lectures and interactive discussions between the students and module team during workshops.

The module will be delivered by weekly two hour lectures and fortnightly two hour workshops. The related materials for the module will be made available via Blackboard. Students are expected to make full use of their independent learning time and reflect critically upon the subject, and develop their own ideas. Students will be directed towards the University Library online Study Skills resources for the development of skills appropriate to the level and style of the module.

Module Learning outcomes: On successful completion of this module students will achieve the following learning outcomes.

MO1 Illustrate a systematic understanding of 'financial crime' and to develop critical thinking and understanding of the legal and practical issues associated with financial crime and regulation.

MO2 Critically evaluate financial crime concepts and evidence from a range of sources.

MO3 Exercise significant judgement in a range of situations including the application of financial crime regulations to practical scenarios.

MO4 Analyse and evaluate the effectiveness of the policies adopted by international institutions towards the reduction of financial crime.

MO5 Demonstrate confidence and flexibility, supported by critical analysis and judgement, when making decisions regarding complex problems in unfamiliar contexts by applying their acquired knowledge of Common Law, statutory rules and financial regulatory awareness.

Hours to be allocated: 300

Contact hours:

Independent study/self-guided study = 228 hours

Face-to-face learning = 72 hours

Total = 300

Reading list: The reading list for this module can be accessed at [readinglists.uwe.ac.uk](https://uwe.rl.talis.com/modules/ujuula-30-3.html) via the following link <https://uwe.rl.talis.com/modules/ujuula-30-3.html>

Part 4: Assessment

Assessment strategy: The module is assessed by two written coursework submissions.

Assessment Task 1: (35% of module mark) Literature review - 1500 words

Assessment Task 2: (65% of module mark) Essay - 3000 words

With the coursework submissions, the students are given the opportunity to independently research and critically explore both the technical and practical sides of financial crime and regulation.

Assessment components:**Written Assignment (First Sit)**

Description: Literature review

Weighting: 35 %

Final assessment: No

Group work: No

Learning outcomes tested: MO1, MO2, MO3, MO4, MO5

Written Assignment (First Sit)

Description: Essay

Weighting: 65 %

Final assessment: Yes

Group work: No

Learning outcomes tested: MO1, MO2, MO3, MO4, MO5

Written Assignment (Resit)

Description: Literature review

Weighting: 35 %

Final assessment: No

Group work: No

Learning outcomes tested:

Written Assignment (Resit)

Description: Essay

Weighting: 65 %

Final assessment: Yes

Group work: No

Learning outcomes tested:

Part 5: Contributes towards

This module contributes towards the following programmes of study:

Law [Sep][FT][Frenchay][3yrs] LLB (Hons) 2021-22

Criminology and Law [Sep][FT][Frenchay][3yrs] BA (Hons) 2021-22

Criminology with Law [Sep][FT][Frenchay][3yrs] BA (Hons) 2021-22

Law with Criminology [Sep][FT][Frenchay][3yrs] LLB (Hons) 2021-22

Business and Law [Sep][FT][Frenchay][3yrs] BA (Hons) 2021-22

Law with Business [Sep][FT][Frenchay][3yrs] LLB (Hons) 2021-22

Business Management with Law [Sep][SW][Frenchay][4yrs] BA (Hons) 2020-21

Law [Sep][SW][UCW][4yrs] LLB (Hons) 2020-21

Commercial Law {Foundation} [Sep][FT][Frenchay][4yrs] - Not Running LLB (Hons) 2020-21

Commercial Law [Sep][SW][Frenchay][4yrs] - Not running LLB (Hons) 2020-21

Business Management with Law {Foundation} [Sep][FT][Frenchay][4yrs] BA (Hons) 2020-21

Law [Sep][SW][Frenchay][4yrs] LLB (Hons) 2020-21

Law {Foundation} [Sep][FT][Frenchay][4yrs] LLB (Hons) 2020-21

Law with Business {Foundation} [Sep][FT][Frenchay][4yrs] LLB (Hons) 2020-21

Law with Business [Sep][SW][Frenchay][4yrs] LLB (Hons) 2020-21

Business and Law [Sep][SW][Frenchay][4yrs] BA (Hons) 2020-21

Business and Law {Foundation} [Sep][FT][Frenchay][4yrs] BA (Hons) 2020-21

Criminology with Law [Sep][SW][Frenchay][4yrs] BA (Hons) 2020-21

Law with Criminology [Sep][SW][Frenchay][4yrs] LLB (Hons) 2020-21

Criminology and Law [Sep][SW][Frenchay][4yrs] BA (Hons) 2020-21

Criminology and Law {Foundation} [Sep][FT][Frenchay][4yrs] BA (Hons) 2020-21

Law with Criminology {Foundation} [Sep][FT][Frenchay][4yrs] LLB (Hons) 2020-21

Criminology with Law {Foundation} [Sep][FT][Frenchay][4yrs] BA (Hons) 2020-21

Commercial Law {Foundation} [Sep][SW][Frenchay][5yrs] LLB (Hons) 2019-20

Business Management with Law {Foundation} [Sep][SW][Frenchay][5yrs] BA (Hons) 2019-20

Law {Foundation} [Sep][SW][Frenchay][5yrs] LLB (Hons) 2019-20

Law with Business {Foundation} [Sep][SW][Frenchay][5yrs] LLB (Hons) 2019-20

Business and Law {Foundation} [Sep][SW][Frenchay][5yrs] BA (Hons) 2019-20

Criminology and Law {Foundation} [Sep][SW][Frenchay][5yrs] BA (Hons) 2019-20

Law with Criminology {Foundation} [Sep][SW][Frenchay][5yrs] LLB (Hons) 2019-20

Criminology with Law {Foundation} [Sep][SW][Frenchay][5yrs] BA (Hons) 2019-20

