



## **Module Specification**

### **Investment Banking**

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#### **Contents**

<b>Module Specification .....</b>	<b>1</b>
<b>Part 1: Information .....</b>	<b>2</b>
<b>Part 2: Description .....</b>	<b>2</b>
<b>Part 3: Teaching and learning methods .....</b>	<b>3</b>
<b>Part 4: Assessment.....</b>	<b>4</b>
<b>Part 5: Contributes towards .....</b>	<b>6</b>

## Part 1: Information

**Module title:** Investment Banking

**Module code:** UMADKQ-15-2

**Level:** Level 5

**For implementation from:** 2022-23

**UWE credit rating:** 15

**ECTS credit rating:** 7.5

**Faculty:** Faculty of Business & Law

**Department:** FBL Dept of Accounting Economics & Finance

**Partner institutions:** None

**Delivery locations:** Frenchay Campus, National Economics University Vietnam

**Field:** Accounting and Finance

**Module type:** Standard

**Pre-requisites:** None

**Excluded combinations:** None

**Co-requisites:** None

**Continuing professional development:** No

**Professional, statutory or regulatory body requirements:** None

## Part 2: Description

**Overview:** The overall purpose of this 15-credit module is to introduce the theory and practice of investment banking. The module serves as a comprehensive overview on pure investment banking activities, i.e. securities underwriting and advisory services, the regulatory framework and the developments initiated in the aftermath of the recent financial crisis.

**Features:** Not applicable

**Educational aims:** The students will develop quantitative skills, studying the most important valuation techniques used in investment banking, both from a theoretical and a practical point of view.

**Outline syllabus:** An indicative outline of topics and themes on this module includes:

Overview and historical development of the investment banking industry – roles played by banks and how they have evolved over time; regulation of investment banks

Structure and management of investment banks – how the businesses are managed and organised; different investment banking business models  
Debt and equity underwriting – role of investment banks in the debt and equity capital markets

Mergers and acquisitions – types of mergers and acquisitions; typical life cycle of a transaction; role of the investment banker in context of other advisers; valuation techniques

Development of Private Equity industry – effect on investment banking and the roles investment banks play in Levered Buyouts by Private Equity firms

Regulation of investment banks, culture and ethics – why regulation has changed; cultural and issues, such as the need for stronger “Chinese Walls”

### **Part 3: Teaching and learning methods**

**Teaching and learning methods:** See Syllabus.

**Module Learning outcomes:** On successful completion of this module students will achieve the following learning outcomes.

**MO1** Appraise investment banking activities and practices and describe its main business area, from the perspective of banks and their clients

**MO2** Assess provision of investment banking services from scenario information and to identify issues arising for banks and clients

**MO3** Evaluate financial targets by means of appropriate valuation techniques

**MO4** Evaluate the conflicts of interest in investment banking

**MO5** Critically discuss recent issues in investment banking, relating to industry developments and regulation since the Global Financial Crisis

**MO6** Understand the main motivations of investment banks in their activities

**Hours to be allocated:** 150

**Contact hours:**

Independent study/self-guided study = 114 hours

Face-to-face learning = 36 hours

Total = 150

**Reading list:** The reading list for this module can be accessed at [readinglists.uwe.ac.uk](https://uwe.rl.talis.com/modules/umadkq-15-2.html) via the following link <https://uwe.rl.talis.com/modules/umadkq-15-2.html>

## Part 4: Assessment

**Assessment strategy:** There are two different assessments for the module. Both of these assessments are designed to allow students to demonstrate their understanding of fundamental concepts and applications of these concepts from both a technical and theoretical perspective.

### Component A

This component has a 60% weighting of the final module mark and assessed through a 2 hour examination. This assessment contains questions that evaluate both theoretical and technical awareness of all topics covered on the module.

**Component B**

This component has a 40% weighting towards the final mark of the module. This component comprises a group portfolio based on seminar learning and focused on the current issues and practical aspects of investment banking, building student's ability to critically analyse important developments in the field.

**Assessment components:****Examination - Component A (First Sit)**

Description: Examination 2 hours

Weighting: 60 %

Final assessment: Yes

Group work: No

Learning outcomes tested: MO1, MO3, MO4, MO6

**Report - Component B (First Sit)**

Description: Group portfolio (2,000 words)

Weighting: 40 %

Final assessment: No

Group work: Yes

Learning outcomes tested: MO2, MO5, MO6

**Examination - Component A (Resit)**

Description: Examination (2 hours)

Weighting: 60 %

Final assessment: Yes

Group work: No

Learning outcomes tested:

**Report - Component B (Resit)**

Description: Individual report (2000 words)

Weighting: 40 %

Final assessment: No

Group work: No

Learning outcomes tested:

## **Part 5: Contributes towards**

This module contributes towards the following programmes of study:

Banking and Finance [Sep][FT][Frenchay][3yrs] BSc (Hons) 2021-22

Banking and Finance [Sep][SW][Frenchay][4yrs] BSc (Hons) 2021-22

Banking and Finance {Foundation} [Sep][FT][Frenchay][4yrs] BSc (Hons) 2020-21

Banking and Finance {Foundation} [Sep][SW][Frenchay][5yrs] BSc (Hons) 2020-21

Banking and Finance {Foundation} [Sep][FT][Frenchay][4yrs] - Withdrawn BA (Hons)  
2020-21

Banking and Finance {Foundation} [Sep][SW][Frenchay][5yrs] - Withdrawn BA  
(Hons) 2020-21